



DEC 26 2001

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Page 1

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/932,793	08/17/2001	Chew Kai Hwa	1085-029-DDH

21034  
IPSOLON LLP  
805 SW BROADWAY, #2740  
PORTLAND, OR 97205

CONFIRMATION NO. 5435

FORMALITIES LETTER



\*OC000000006581535\*

Date Mailed: 09/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2/27/2001 SFELEKE1 00000010 09932793

FD-205

65.00 GP

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Section 101



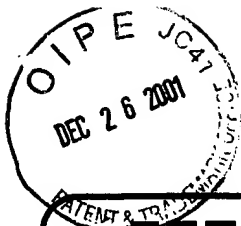
PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<h2>TRANSMITTAL FORM</h2> <p>(to be used for all correspondence after initial filing)</p>	Application Number	09/932,793 -	
	Filing Date	Aug 17 2001	
	First Named Inventor	CHEW Kai Hwa	
	Group Art Unit	1742	
	Examiner Name		
Total Number of Pages in This Submission	4	Attorney Docket Number	1085-029/ddh

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Sheets <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Copy of PTOL-85B to Accompany Issue Fee <input type="checkbox"/> Request for Patent Copies Other Enclosure(s) (please identify below):
Remarks Executed Declaration; return receipt postcard		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Douglas D. Hancock, Reg. No. 35,889
Signature	
Date	Oct 29, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <u>Oct 29, 2001</u>			
Typed or printed name	Douglas D. Hancock		
Signature		Date	Oct 29, 2001



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PTO/SB/17 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE**FEE TRANSMITTAL**  
**for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 65

**Complete if Known**

Application Number	09/932,793
Filing Date	Aug 17, 2001
First Named Inventor	CHEW Kai Hwa
Examiner Name	
Group Art Unit	1742
Attorney Docket No.	1085-029/ddh

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 50-0241  
Deposit Account Name: ipsolon llp

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☒ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	\$0
106 320	206 160	Design filing fee	\$0
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	\$0

SUBTOTAL (1) (\$) 0

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20 =	9	0
Multiple Dependent Claims	-3 =	40	0

SUBTOTAL (2) \$0.00

Certificate of Mailing under 37 CFR 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents Washington, D.C. 20231

DATE: Oct 29, 2001

Douglas D. Hancock

\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Fee Code	Large Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65		Surcharge - late filing fee or oath	\$65
127 50	227 25		Surcharge - late provisional filing fee or cover sheet	\$0
139 130	139 130		Non-English specification	
147 2,520	147 2,520		For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*		Requesting publication of SIR after Examiner action	
115 110	215 55		Extension for reply within first month	\$0
116 390	216 195		Extension for reply within second month	\$0
117 890	217 445		Extension for reply within third month	\$0
118 1,390	218 695		Extension for reply within fourth month	\$0
128 1,890	228 945		Extension for reply within fifth month	\$0
119 310	219 155		Notice of Appeal	\$0
120 310	220 155		Filing a brief in support of an appeal	\$0
121 270	221 135		Request for oral hearing	
138 1,510	138 1,510		Petition to institute a public use proceeding	
140 110	240 55		Petition to revive - unavoidable	
141 1,240	241 620		Petition to revive - unintentional	
142 1,240	242 620		Utility issue fee (or reissue)	\$0
143 440	243 220		Design issue fee	\$0
561 3			<input type="checkbox"/> Printed copies of patent	\$0
122 130	122 130		Petitions to the Commissioner	\$0
123 50	123 50		Processing fee under 37 CFR 1.17(q)	\$0
126 180	126 180		Submission of Information Disclosure Stmt	\$0
581 40	581 40		Recording each patent assignment per property (times number of properties)	\$0
146 710	246 355		Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355		For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355		Request for Continued Examination (RCE)	\$0
169 900	169 900		Request for expedited examination of a design application	\$0

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 65

**SUBMITTED BY**

Name (Print/Type)

Douglas D. Hancock

Registration No.  
(Attorney/Agent)

35,889

**Complete (if applicable)**

Telephone

(503) 249-7066

Signature

Date

Oct 29, 2001